

TRANSMITTAL FORM

Attorney Docket No.
SVL920010002US/2021PIn re the application: **Julie A. WATTS**Confirmation No: **6518**

11/16/2004 HDEXESS1 00000022 09894073

Serial No: **09/894,073**Group Art Unit: **2171**

01 FC:1251

110.00 00

Filed: **June 28, 2001**Examiner: **Goddard, Brian D.**For: **Method for Releasing Update Locks on Rollback to Savepoint**

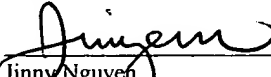
| ENCLOSURES (check all that apply) | | | | | |
|-------------------------------------|-------------------------------------|---|--|--|---|
| <input checked="" type="checkbox"/> | Amendment/Reply | <input type="checkbox"/> | Assignment and Recordation Cover Sheet | <input type="checkbox"/> | After Allowance Communication to Group |
| <input type="checkbox"/> | After Final | <input type="checkbox"/> | Part B-Issue Fee Transmittal | <input type="checkbox"/> | Notice of Appeal |
| <input type="checkbox"/> | Information disclosure statement | <input type="checkbox"/> | Letter to Draftsman | <input type="checkbox"/> | Appeal Brief (in triplicate) |
| <input type="checkbox"/> | Form 1449 | <input type="checkbox"/> | Drawings | <input type="checkbox"/> | Status Letter |
| <input type="checkbox"/> | (X) Copies of References | <input type="checkbox"/> | Petition | <input checked="" type="checkbox"/> | Postcard |
| <input checked="" type="checkbox"/> | Extension of Time Request * | <input type="checkbox"/> | Fee Address Indication Form | <input type="checkbox"/> | Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> | Express Abandonment | <input type="checkbox"/> | Terminal Disclaimer | RECEIVED NOV 22 2004 Technology Center 2100 | |
| <input type="checkbox"/> | Certified Copy of Priority Doc | <input type="checkbox"/> | Power of Attorney and Revocation of Prior Powers | | |
| <input type="checkbox"/> | Response to Incomplete Appln | <input type="checkbox"/> | Change of Correspondence Address | | |
| <input type="checkbox"/> | Response to Missing Parts | *Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for one month(s), from October 26, 2004 to November 26, 2004. | | | |
| <input type="checkbox"/> | Executed Declaration by Inventor(s) | | | | |

| CLAIMS | | | | | |
|---|--|---|--------------|------------|---------|
| FOR | Claims Remaining After Amendment | Highest # of Claims Previously Paid For | Extra Claims | RATE | FEE |
| Total Claims | 18 | 20 | 0 | \$18.00 | \$ 0.00 |
| Independent Claims | 6 | 6 | 0 | \$88.00 | \$ 0.00 |
| | | | | Total Fees | \$ 0.00 |
| METHOD OF PAYMENT | | | | | |
| <input checked="" type="checkbox"/> | Check no. 8019 in the amount of \$110.00 is enclosed for payment of extension fees. | | | | |
| <input type="checkbox"/> | Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees. | | | | |
| <input checked="" type="checkbox"/> | Charge any additional fees or credit any overpayment to Deposit Account No. 09-0460 (IBM Corporation). | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Attorney Name | Michele Liu, Reg. No. 44,875 | | | | |
| Signature | | | | | |
| Date | November 11, 2004 | | | | |
| CERTIFICATE OF MAILING | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 11, 2004 | | | | | |
| Type or printed name | Jinng Nguyen | | | | |
| Signature | | | | | |



CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 11, 2004.


Jinny Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: November 11, 2004

Julie A. WATTS

Confirmation No.: 6518

Serial No.: 09/894,073

Group Art Unit: 2171

Filed: June 28, 2001

Examiner: Goddard, Brian D.

For: METHOD FOR RELEASING UPDATE LOCKS ON ROLLBACK TO
SAVEPOINT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2100

AMENDMENT

Sir:

In response to the Office Action dated July 26, 2004, Applicant submits the following
remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2
of this paper.

Remarks/Arguments begin on page 8 of this paper.